

Image 2834 \$

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Date: November 20, 2003

Christine M. Spivey

PATENT  
36856.597

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuichi TAKAMINE	Art Unit: 2834
Serial No.: 10/050,923	Examiner: M. O Budd
Filed: January 22, 2002	
Title: <b>SURFACE ACOUSTIC WAVE DEVICE AND COMMUNICATION DEVICE</b>	

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of two (2) references cited in the Examination Report issued in a corresponding Korean Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Korean Examination Results and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant petitions the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

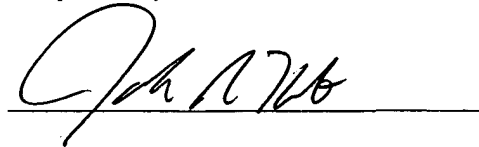
11/25/2003 WABDELRI 00000132 10050923

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Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

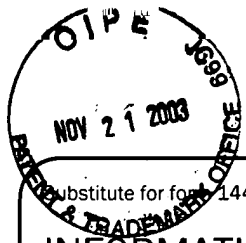
A handwritten signature in dark ink, appearing to read "J.R. Keating", is written over a horizontal line.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1****Complete if Known**

Application Number	<b>10/050,923</b>
Filing Date	<b>January 22, 2002</b>
First Named Inventor	<b>Yuichi TAKAMINE</b>
Art Unit	<b>2834</b>
Examiner Name	<b>M. O. Budd</b>
Attorney Docket Number	<b>36856.597</b>

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	<b>1</b>	<b>6,166,611</b>	<b>12/2000</b>	<b>Seki et al.</b>	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)				
	<b>2</b>	<b>10-135780</b>	<b>5/1998</b>	<b>Japan</b>		<b>X</b>

Examiner  
SignatureDate  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.